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# Some Results on the CR property of non-E-overlapping and depth-preserving TRS's(Theory of Rewriting Systems and Its Applications)

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## Some Results on the CR property of non-E-overlapping and depth-preserving TRS's

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### Abstract

A term rewriting system (TRS) is said to be depth-preserving if for any rewrite rule and any variable appearing in the both sides, the maximal depth of the variable occurrences in left-hand-side is greater than or equal to that of the variable occurrences in the right-hand-side, and to be strongly depth-preserving if it is depth-preserving and for any rewrite rule and any variable appearing in the left-hand-side, all the depths of the variable occurrences in the left-hand-side are the same. This paper shows that there exists non-E-overlapping and depth-preserving TRS's which do not satisfy the Church-Rosser property, but all the non-E-overlapping and strongly depth-preserving TRS's satisfy the Church-Rosser property.

### 1 Introduction

A term-rewriting system (TRS) is a set of directed equations (called rewrite rules). A TRS is Church-Rosser (CR) if any two interconvertible terms reduce to some common term by applications of the rewrite rules. Church-Rosser is an important property in various applications of TRS's and has received much attention so far [1-5,8-15]. Although the CR property is undecidable for general TRS's, many sufficient conditions for ensuring this property have been obtained [1,3,5,8-15]. For example, for noetherian (i.e. terminating) TRS's, the CR property is decidable and reduces to joinability of the critical pairs [5], and for nonterminating and linear TRS's, some sufficient conditions (e.g., nonoverlapping) have been given [3, 11].

On the other hand, for nonlinear and nonterminating TRS's, only a few results on the CR property have been obtained. Our previous paper [9,10,13] may be pioneer ones which have first given nontrivial conditions for the CR property. In [10], it was shown that if TRS's are non-E-overlapping (stronger than nonoverlapping) and right-ground, then they are CR. Here, a TRS is right-ground if no variables occur in the right-hand-side of a rewrite rule. This result is compared with an example given by G.Huet [3], i.e., a nonoverlapping, right-ground and non-CR TRS with the three rules:  $f(x, x) \rightarrow a, f(x, g(x)) \rightarrow b, c \rightarrow g(c)$ . Here,  $f, g, a, b, c$  are function symbols and  $x$  is a variable. The above result was extended in [9,13,14,15] and it was shown that if TRS's are non-E-overlapping and simple-right-linear, then they are CR. Here, a TRS is simple-right-linear if for any rewrite rule, the right-hand-side is linear (i.e., any variable occurs at most once in the term) and no variables occurring more than once in the left-hand-side occur in the right-hand-side. Moreover, it was shown that even if simple-right-linear TRS's are E-overlapping, some additional conditions ensure that they are CR [9,13,15].

However, these results were restricted to those on the CR property of subclasses of right-linear TRS's. On the other hand, if we omit the right-linearity condition, then it has been shown that

only the non-E-overlapping condition is insufficient for ensuring the CR property of TRS's. For example, the following non-E-overlapping TRS  $R_1$  is not CR:  $R_1 = \{f(x, x) \rightarrow a, g(x) \rightarrow f(x, g(x)), c \rightarrow g(c)\}$  given by Barendregt and Klop. Here,  $f, g, a, c$  are function symbols and  $x$  is a variable.

In this paper, we consider the CR property of nonlinear, nonterminating and depth-preserving TRS's. Here, a TRS is depth-preserving if for each rule  $\alpha \rightarrow \beta$  and any variable  $x$  appearing in both  $\alpha$  and  $\beta$ , the maximal depth of the  $x$  occurrences in  $\alpha$  is greater than or equal to that of the  $x$  occurrences in  $\beta$  ([6]). For example, TRS  $R_2 = \{f(x, g(x)) \rightarrow h(k(x), x)\}$ , where  $x$  is a variable, is depth-preserving, since the maximal depths of the  $x$  occurrences of the left-hand-side and of the right-hand-side are 2 and 2, respectively.

We first show that only the non-E-overlapping and depth-preserving properties are insufficient for ensuring the CR property. That is, the following TRS  $R_3$  is not CR:  $R_3 = \{f(x, x) \rightarrow a, c \rightarrow h(c, g(c)), h(x, g(x)) \rightarrow f(x, h(x, g(c)))\}$  where  $x$  is a variable. Note that  $R_3$  is non-E-overlapping and depth-preserving, but  $R_3$  is not CR, since  $c \rightarrow h(c, g(c)) \rightarrow^* a$  and  $c \rightarrow^* h(a, g(a))$ , but  $a$  and  $h(a, g(a))$  are not joinable. Note that  $R_3$  is also non-duplicating, since for each rule the number of  $x$  occurrences of the left-hand side  $\geq$  that of the right-hand side. Thus, non-E-overlapping, non-duplicating and depth-preserving conditions do not necessarily ensure CR.

Next, we introduce the notion of strongly depth-preserving property (stronger than the depth-preserving one). A TRS  $R$  is strongly depth-preserving if  $R$  is depth-preserving and for each  $\alpha \rightarrow \beta$  and for any variable  $x$  appearing in  $\alpha$ , all the depths of the  $x$  occurrences in  $\alpha$  are the same. For example, TRS  $R_4 = \{h(g(x), g(x)) \rightarrow f(x, h(x, g(c)))\}$  is strongly depth-preserving, since  $R_4$  is depth-preserving and all the depths of  $x$  occurrences of the left-hand side are 2.

In this paper, we prove that non-E-overlapping and strongly depth-preserving TRS's are CR. For example, the following three TRS's  $R'_1$ ,  $R'_3$  and  $R_5$  are ensured to be CR:

$$\begin{aligned} R'_1 &= \{f(x, x) \rightarrow a, c \rightarrow g(c), g(x) \rightarrow f(x, x)\} \\ R'_3 &= \{f(x, x) \rightarrow a, c \rightarrow h(c, g(c)), h(g(x), g(x)) \rightarrow f(x, h(x, g(c)))\} \\ R_5 &= \{f(x, x) \rightarrow h(x, x, x)\} \end{aligned}$$

This paper is organized as follows. Section 2 is devoted to definitions. In Section 3, we explain how to prove the above main theorem. In Section 4, we make concluding remarks about the strongly depth-preserving property.

## 2 Definitions

The following definitions and notations are similar to those in [3, 10]. Let  $X$  be a set of variables,  $F$  be a finite set of operation symbols and  $T$  be the set of terms constructed from  $X$  and  $F$ .

**Definitions of  $\langle O(M), M/u, M[u \leftarrow N], V(M), O_x(M) \rangle$**

For a term  $M$ , we use  $O(M)$  to denote the set of occurrences (positions) of  $M$ , and  $M/u$  to denote the subterm of  $M$  at occurrence  $u$ , and  $M[u \leftarrow N]$  to denote the term obtained from  $M$  by replacing the subterm  $M/u$  by term  $N$ ,  $V(M)$  to denote the set of variables in  $M$ ,  $O_x(M)$  to denote the set of occurrences of variable  $x \in V(M)$ .

**Definitions of  $\langle \bar{O}(M) \rangle$**

$\bar{O}(M)$  is the set of non-variable occurrences, i.e.,  
 $\bar{O}(M) = O(M) - \bigcup_{x \in V(M)} O_x(M)$

**Definition of  $\langle h(M) \text{ — height of } M \rangle$** 

For a term  $M$ ,  $h(M) = \text{Max}\{|u| \mid u \in O(M)\}$ .  $h(M)$  is called "height of  $M$ ".

Example.

$$h(f(g(x))) = 2, h(a) = 0, h(g(x)) = 1.$$

**Definition of  $\langle \text{TRS} \rangle$** 

A term-rewriting system (TRS) is a set of directed equations (called rewrite rules).

**Definition of  $\langle \text{depth-preserving TRS } R \rangle$** 

TRS  $R$  is depth-preserving

$$\text{if } \forall \alpha \rightarrow \beta \in R \forall x \in V(\alpha) \quad \text{Max}\{|v| \mid v \in O_x(\beta)\} \leq \text{Max}\{|u| \mid u \in O_x(\alpha)\}$$

**Note**

TRS  $R$  is depth-preserving if and only if  $R$  is locally increasing, i.e.,  $\exists l \geq 0$  such that  $\forall \alpha \rightarrow \beta \in R$   
 $\forall \sigma : X \rightarrow T$ , if  $h(\sigma(\alpha)) < h(\sigma(\beta))$  then  $h(\sigma(\alpha)) \leq l$

**Definition of  $\langle \text{strongly depth-preserving TRS } R \rangle$** 

TRS  $R$  is strongly depth-preserving

if  $R$  is depth-preserving and satisfies that  $\forall \alpha \rightarrow \beta \in R \forall x \in V(\alpha) \forall u, v \in O_x(\alpha)$   
 $|u| = |v|$  hold.

**Definition of  $\langle \text{parallel-one-step } \leftrightarrow \rangle$** 

$$\begin{aligned} M \leftrightarrow N \quad \text{iff} \quad & \exists U \subseteq O(M) \text{ s.t.} \\ & \forall u, v \in U \quad u \neq v \Rightarrow u|v \text{ (disjoint)} \\ & \forall u \in U \quad M/u \leftrightarrow N/u \\ & N = M[u \leftarrow N/u, u \in U] \end{aligned}$$

where  $M/u \leftrightarrow N/u$  is one step reduction between  $\{M/u, N/u\} = \{\sigma(\alpha), \sigma(\beta)\}$  for some  $\alpha \rightarrow \beta \in R$  and  $\sigma : X \rightarrow T$ .

In this case, let  $R(M \leftrightarrow N) = U$ .

(Note.  $U = \phi$  is allowed.)

Example.

Let  $R = \{a \rightarrow c\}$ , then  $f(c, g(a)) \leftrightarrow f(a, g(c))$ .

We assume that  $\gamma : M_0 \leftrightarrow M_1 \leftrightarrow \dots \leftrightarrow M_n$  in the following definitions.

**Definition of  $\langle R(\gamma), MR(\gamma), u\text{-invariant} \rangle$** 

$$R(\gamma) = \{u_i \mid u_i \in R(M_i \leftrightarrow M_{i+1}) (0 \leq i \leq n)\}$$

$MR(\gamma)$  is the set of minimal occurrences in  $R(\gamma)$ .

For  $u \in O(M_0)$ , if there exists no  $v \in R(\gamma)$  such that  $v \leq u$ , then  $\gamma$  is said to be  $u$ -invariant.

**Definition of  $\langle \text{composition, cut of reduction sequence} \rangle$** 

Let  $\delta : N_0 \leftrightarrow N_1 \leftrightarrow \dots \leftrightarrow N_k$ . If  $M_n = N_0$ , then the composition of  $\gamma$  and  $\delta$ , i.e.,  $M_0 \leftrightarrow M_1 \leftrightarrow \dots \leftrightarrow M_n (= N_0) \leftrightarrow N_1 \leftrightarrow \dots \leftrightarrow N_k$  is denoted by  $(\gamma; \delta)$ .

Let  $\gamma$  be  $u$ -invariant, then the cut sequence of  $\gamma$  at  $u$  is

$$\gamma/u = (M_0/u \leftrightarrow M_1/u \leftrightarrow \dots \leftrightarrow M_n/u).$$

**Definition of  $\langle H(\gamma) \rangle$  — the height of reduction sequence  $\gamma$**

$$H(\gamma) = \text{Max}\{h(M_i) \mid 0 \leq i \leq n\}$$

Example.

Let  $\gamma : f(c) \leftrightarrow f(g(c)) \leftrightarrow a$ , then  $H(\gamma) = h(f(g(c))) = 2$ .

**Definition of  $\langle |\gamma|_p \rangle$  — the number of parallel reduction steps of  $\gamma$**

$$|\gamma|_p = n$$

Note.

If  $\delta : M \leftrightarrow M$ , then  $|\delta|_p = 1$ .

Example.

Let  $\gamma : f(c) \leftrightarrow f(g(c)) \leftrightarrow a$ , then  $|\gamma|_p = 2$ .

**Definition of  $\langle \text{net}(\gamma) \rangle$**

$\text{net}(\gamma)$  is the sequence obtained from  $\gamma$  by removing all  $M_i \leftrightarrow M_{i+1}$  satisfying  $M_i = M_{i+1}$ ,  $0 \leq i < n$ .

Example.

Let  $\gamma : f(c) \leftrightarrow f(g(c)) \leftrightarrow a \leftrightarrow a$ , then  $\text{net}(\gamma) : f(c) \leftrightarrow f(g(c)) \leftrightarrow a$ .

**Definition of  $\langle |\gamma|_{np} \rangle$**

$$|\gamma|_{np} = |\text{net}(\gamma)|_p$$

**Definitions of  $\langle \text{left}(\gamma, h), \text{right}(\gamma, h), \text{width}(\gamma, h), \text{ldis}(\gamma, h), \text{rdis}(\gamma, h) \rangle$**

$\text{left}(\gamma, h)$	$=$	$\text{Min}\{i \mid h(M_i) = h\}$	if $\exists i$ ( $0 \leq i \leq n$ ) s.t. $h(M_i) = h$ and $\forall j (0 \leq j < i) \ h(M_j) < h$
	$=$	$\perp$	otherwise
$\text{right}(\gamma, h)$	$=$	$\text{Max}\{i \mid h(M_i) = h\}$	if $\exists i$ ( $0 \leq i \leq n$ ) s.t. $h(M_i) = h$ and $\forall j (i < j \leq n) \ h(M_j) < h$
	$=$	$\perp$	otherwise
$\text{left}(\gamma, h) \downarrow$	$\stackrel{\text{def}}{=}$	$\text{left}(\gamma, h) \neq \perp$	
$\text{right}(\gamma, h) \downarrow$	$\stackrel{\text{def}}{=}$	$\text{right}(\gamma, h) \neq \perp$	
$\text{left}(\gamma, h) \uparrow$	$\stackrel{\text{def}}{=}$	$\text{left}(\gamma, h) = \perp$	
$\text{right}(\gamma, h) \uparrow$	$\stackrel{\text{def}}{=}$	$\text{right}(\gamma, h) = \perp$	
$\text{width}(\gamma, h)$	$=$	$\text{right}(\gamma, h) - \text{left}(\gamma, h)$	if $\text{left}(\gamma, h) \downarrow \wedge \text{right}(\gamma, h) \downarrow$
	$=$	$\text{right}(\gamma, h) - \text{left}(\gamma, h')$	if $\text{left}(\gamma, h) \uparrow \wedge \text{right}(\gamma, h) \downarrow$ $h' = \text{Min}\{h' \mid h' > h \wedge \text{left}(\gamma, h') \downarrow\}$
	$=$	$\text{right}(\gamma, h') - \text{left}(\gamma, h)$	if $\text{left}(\gamma, h) \downarrow \wedge \text{right}(\gamma, h) \uparrow$ $h' = \text{Min}\{h' \mid h' > h \wedge \text{right}(\gamma, h') \downarrow\}$
	$=$	$\perp$	otherwise

$$\begin{aligned}
l\text{dis}(\gamma, h) &= n - \text{left}(\gamma, h) && \text{if } \text{left}(\gamma, h) \downarrow \\
&= \perp && \text{otherwise} \\
r\text{dis}(\gamma, h) &= \text{right}(\gamma, h) && \text{if } \text{right}(\gamma, h) \downarrow \\
&= \perp && \text{otherwise} \\
l\text{dis}(\gamma, h) \downarrow &\stackrel{\text{def}}{=} l\text{dis}(\gamma, h) \neq \perp \\
r\text{dis}(\gamma, h) \downarrow &\stackrel{\text{def}}{=} r\text{dis}(\gamma, h) \neq \perp \\
l\text{dis}(\gamma, h) \uparrow &\stackrel{\text{def}}{=} l\text{dis}(\gamma, h) = \perp \\
r\text{dis}(\gamma, h) \uparrow &\stackrel{\text{def}}{=} r\text{dis}(\gamma, h) = \perp
\end{aligned}$$

In fig.1, we illustrate *width*, *ldis* and *rdis* with examples.

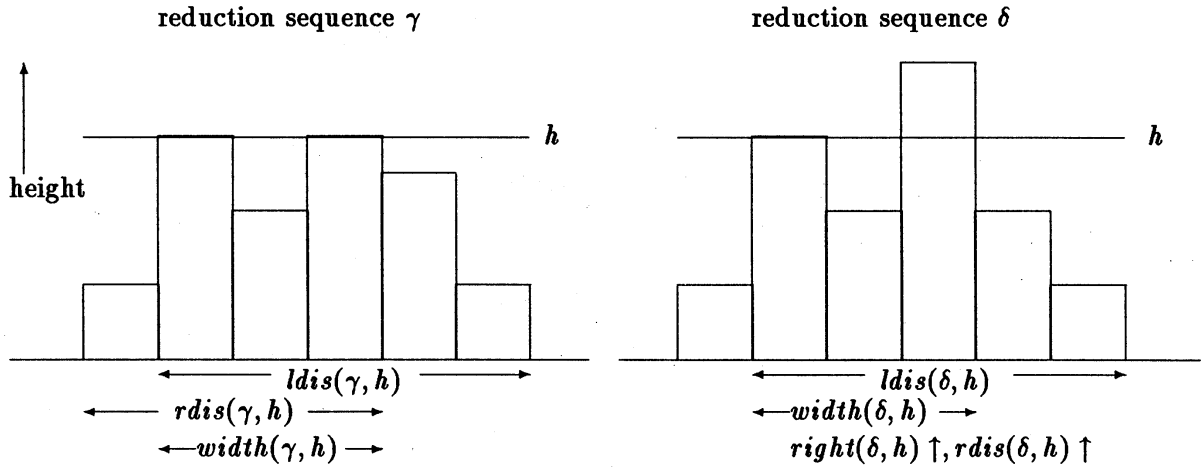


Fig.1 Definitions of *ldis*, *rdis*, *width*.

Example.

Let  $\gamma : f(c) \leftrightarrow f(g(g(c))) \leftrightarrow f(g(c)) \leftrightarrow f(f(g(g(c)))) \leftrightarrow f(f(c)) \leftrightarrow g(c)$ . Then  
 $\text{left}(\gamma, 1) = 0$ ,  $\text{left}(\gamma, 2) \uparrow$ ,  $\text{ldis}(\gamma, 1) = 5$ ,  $\text{ldis}(\gamma, 2) \uparrow$ ,  
 $\text{right}(\gamma, 1) = 5$ ,  $\text{right}(\gamma, 3) \uparrow$ ,  $\text{right}(\gamma, 0) \uparrow$ ,  $\text{rdis}(\gamma, 1) = 5$ ,  $\text{rdis}(\gamma, 3) \uparrow$ ,  
 $\text{width}(\gamma, 1) = \text{right}(\gamma, 1) - \text{left}(\gamma, 1) = 5$ ,  $\text{width}(\gamma, 2) = 3$ ,  $\text{width}(\gamma, 3) = 2$ ,  $\text{width}(\gamma, 4) = 0$

**Definition of  $\langle K(\gamma), W(\gamma) \rangle$**

$$\begin{aligned}
K(\gamma) &= \{(h, \text{ldis}(\gamma, h)) \mid \text{ldis}(\gamma, h) \downarrow\} \\
W(\gamma) &= \{(h, \text{width}(\gamma, h)) \mid \text{width}(\gamma, h) \downarrow\}
\end{aligned}$$

**Notation**

We denote by  $\gamma[\delta'/\delta]$  the sequence obtained from reduction sequence  $\gamma$  by replacing the subsequence or cut sequence  $\delta$  of  $\gamma$  by sequence  $\delta'$ .

### 3 Assertions

In this section, we explain how to prove that non-E-overlapping and strongly depth-preserving TRS  $R$  is CR. For this purpose, we need the following five assertions  $S(k)$ ,  $S'(k)$ ,  $P(k)$ ,  $Q(k)$ ,  $Q'(k)$  for  $k \geq 0$ .

#### Assertion $S(k)$

Let  $\gamma : M_0 \leftarrow^+ M_1 \leftarrow^+ \dots \leftarrow^+ M_k$  where  $|\gamma|_p = k$ ,  $M_0 = \sigma(\beta)$ ,  $M_1 = \sigma(\alpha)$ ,  $M_{k-1} = \sigma'(\alpha)$ ,  $M_k = \sigma'(\beta)$  for some rule  $\alpha \rightarrow \beta \in R$  and mappings  $\sigma, \sigma'$  and  $\bar{\gamma} : M_1 \leftarrow^+ {}^*M_{k-1}$  is  $\varepsilon$ -invariant.

Then  $\exists \delta : \sigma(\beta) \leftarrow^+ {}^*\sigma'(\beta)$  such that

- (i)  $|\delta|_p \leq k - 2$
- (ii) If  $\beta$  is a variable, then  $H(\delta) < H(\gamma)$ .  
Otherwise,  $\delta$  is  $\varepsilon$ -invariant and  $H(\delta) \leq H(\gamma)$ .
- (iii)  $\forall h \geq 0$  if  $ldis(\delta, h) \downarrow$ , then  
 $\exists h' \geq h$  such that  $ldis(\gamma, h') \downarrow$  and  $ldis(\delta, h) < ldis(\gamma, h')$ .

#### Assertion $S'(k)$

Let  $\gamma : M_0 \leftarrow^+ M_1 \leftarrow^+ \dots \leftarrow^+ M_k$   
where  $|\gamma|_p = k$ ,  $M_0 = \sigma(\beta)$ ,  $M_1 = \sigma(\alpha)$ ,  $M_{k-1} = \sigma'(\alpha)$ ,  $M_k = \sigma'(\beta)$  for some rule  $\alpha \rightarrow \beta \in R$  and mappings  $\sigma, \sigma'$  and  $\bar{\gamma} : M_1 (= \sigma(\alpha)) \leftarrow^+ {}^*M_{k-1} (= \sigma'(\alpha))$  is  $\varepsilon$ -invariant.

Then  $\exists \delta : \sigma(\beta) \leftarrow^+ {}^*\sigma'(\beta)$  such that

- (i)  $|\delta|_p = |\gamma|_p$ ,  $|\delta|_{np} \leq |\gamma|_{np} - 2$
- (ii) If  $\beta$  is a variable, then  $H(\delta) < H(\gamma)$ .  
Otherwise,  $\delta$  is  $\varepsilon$ -invariant and  $H(\delta) \leq H(\gamma)$ .
- (iii)  $\forall h \geq 0$  if  $left(\delta, h) \downarrow$ , then  
 $\exists h' \geq h$  such that  $left(\gamma, h') \downarrow$  and  $left(\gamma, h') \leq left(\delta, h)$ .  
If  $right(\delta, h) \downarrow$ , then  
 $\exists h' \geq h$  such that  $right(\gamma, h') \downarrow$  and  $right(\delta, h) \leq right(\gamma, h')$ .

#### Assertion $P(k)$

Let  $\gamma : \sigma(\beta) \leftarrow \sigma(\alpha) \leftarrow^+ {}^*M$  for some rule  $\alpha \rightarrow \beta \in R$  and mapping  $\sigma$  where  $H(\gamma) = k$  and  $\bar{\gamma} : \sigma(\alpha) \leftarrow^+ {}^*M$  is  $\varepsilon$ -invariant.

Then, if  $\beta$  is not a variable, then

$\exists \delta : \sigma(\beta) \leftarrow^+ {}^*N \leftarrow^+ {}^*M$  for some  $N$  such that

$H(\delta) \leq k$ ,  $M \rightarrow^* N$  and  $\delta' : \sigma(\beta) \leftarrow^+ {}^*N$  is  $\varepsilon$ -invariant.

If  $\beta$  is a variable, then  $\exists \delta : \sigma(\beta) \leftarrow^+ {}^*N \leftarrow^+ {}^*M$  for some  $N$  such that

$H(\delta) \leq k$ ,  $M \rightarrow^* N$  and  $H(\delta') < k$  for  $\delta' : \sigma(\beta) \leftarrow^+ {}^*N$

#### Assertion $Q(k)$

Let  $\gamma : M \leftarrow^+ {}^*N$  where  $H(\gamma) \leq k$ .

Then,  $\exists \delta : M \leftarrow^+ {}^*L \leftarrow^+ {}^*N$  such that  $H(\delta) \leq k$ ,  $M \rightarrow^* L$  and  $N \rightarrow^* L$ .

#### Assertion $Q'(k)$

Let  $\gamma_i : M \multimap^* M_i$ , where  $H(\gamma_i) \leq k$ ,  $1 \leq i \leq n$ .

Then,  $\exists \delta : M \multimap^* N$  such that  $H(\delta) \leq k$  and  $\forall i$  ( $1 \leq i \leq n$ )  $M_i \rightarrow^* N$ .

The assertions  $S(k)$  and  $S'(k)$  are similar to the Elimination lemma in [7]. For any reduction sequence  $\gamma : \sigma(\beta) \leftarrow \sigma(\alpha) \multimap^* \sigma'(\alpha) \rightarrow \sigma'(\beta)$  for some rule  $\alpha \rightarrow \beta$  and mappings  $\sigma, \sigma'$  where  $\bar{\gamma} : \sigma(\alpha) \multimap^* \sigma'(\alpha)$  is  $\varepsilon$ -invariant,  $S(k)$  ensures that there exists  $\delta : \sigma(\beta) \multimap^* \sigma'(\beta)$  such that  $|\delta|_p \leq |\gamma|_p - 2$ ,  $H(\delta) \leq H(\gamma)$  (where  $\delta$  is  $\varepsilon$ -invariant or  $H(\delta) < H(\gamma)$ ) and  $K(\delta) \ll K(\gamma)$ . Here,  $\ll$  is the multiset ordering of a lexicographic ordering  $<$ . And  $S'(k)$  ensures that there exists  $\delta' : \sigma(\beta) \multimap^* \sigma'(\beta)$  such that  $|\delta|_p = |\gamma|_p$ ,  $|\delta|_{np} \leq |\gamma|_{np} - 2$ ,  $H(\delta) \leq H(\gamma)$  (where  $\delta$  is  $\varepsilon$ -invariant or  $H(\delta) < H(\gamma)$ ) and  $W(\delta) \leq W(\gamma)$ . Here,  $\leq$  is  $\ll$  or  $=$ .

To prove these assertions, we use the following properties for *left*, *right*, *width*.

### Property 1

Let  $\gamma : M_0 \multimap M_1 \multimap \dots \multimap M_k$ ,  
 $\delta : N_0 \multimap N_1 \multimap \dots \multimap N_k$ .

1. Assume that for  $h > 0$ ,  $left(\delta, h) \downarrow$  and there exists  $j$  such that  $j \leq left(\delta, h)$  and  $h(M_j) \geq h$ .  
 Then, there exists  $h' \geq h$  such that  $left(\gamma, h') \downarrow$  and  $left(\gamma, h') \leq left(\delta, h)$ .
2. Assume that for  $h > 0$ ,  $right(\delta, h) \downarrow$  and there exists  $j$  such that  $right(\delta, h) \leq j$  and  $h(M_j) \geq h$ .  
 Then, there exists  $h' \geq h$  such that  $right(\gamma, h') \downarrow$  and  $right(\gamma, h') \geq right(\delta, h)$ .

### Property 2

If  $H(\gamma) > H(\delta)$ , then  $K(\gamma) \gg K(\delta)$  and  $W(\gamma) \gg W(\delta)$ .

Here,  $\gg$  is the multiset ordering of a lexicographic ordering  $>$ .

These proofs are obvious by the definitions of left, right and width, etc.

We first prove  $S(k)$  and  $S'(k)$  by induction on  $k \geq 0$ , where  $k$  is the number of parallel reduction steps of  $\gamma$ . In the case of  $k > 2$ , we prove  $S(k)$  and  $S'(k)$  by induction on  $weight(\gamma)$  which is defined as follows:

$$weight(\gamma) = \sum_{\gamma_i \in \Gamma} |\gamma_i|_{np}$$

where  $\Gamma = \{\gamma_i \mid \gamma_i = \bar{\gamma}/u_i \text{ for some } u_i \in MR(\bar{\gamma}) \cap \bar{O}(\alpha)\}$ ,  
 $\bar{\gamma} : \sigma(\alpha) \multimap^* \sigma'(\alpha)$ .

1. Basis, i.e., the case of  $weight(\gamma) = 0$   
 The proof is straightforward.
2. Induction step, i.e., the case of  $weight(\gamma) > 0$   
 Let  $\gamma_1 = \bar{\gamma}/u_1 : L_1 \multimap L_2 \dots \multimap L_{k-1}$  where  $\gamma_1 \in \Gamma$  and  $L_i = M_i/u_1$ ,  $1 \leq i \leq k-1$ .  
 Then, there exist  $i, j$  such that  $1 \leq i < j < k-1$  and  
 $\delta_1 : L_i \multimap L_{i+1} \dots \multimap L_j \multimap L_{j+1}$   
 where  $L_i = \theta(\beta')$ ,  $L_{i+1} = \theta(\alpha')$ ,  $L_j = \theta'(\alpha')$ ,  $L_{j+1} = \theta'(\beta')$  for some rule  $\alpha' \rightarrow \beta'$  and mappings  $\theta, \theta'$ .



By the induction hypothesis  $S(k')$ , where  $k' = |\delta_1|_p$ , there exists  $\eta_1 : L_i \leftrightarrow^* L_{j+1}$  satisfying the conditions (i), (ii) and (iii). Let  $\eta'_1 = ((L_i \leftrightarrow^* L_i \cdots \leftrightarrow^* L_i); \eta_1)$  where  $|\eta'_1|_p = |\delta_1|_p$ .

Let  $\gamma' = \gamma[\eta'_1/\delta_1]$ . Then, obviously  $\text{weight}(\gamma) > \text{weight}(\gamma')$  holds. Hence, by the induction hypothesis that  $S(k)$  holds for  $\gamma'$ , it follows that  $S(k)$  holds for  $\gamma$ .

The proof of  $S'(k)$  is similar to that of  $S(k)$ .

We then prove that  $Q(k) \Rightarrow Q'(k)$  for all  $k \geq 0$ . Using these results, we can prove  $P(k) \wedge Q(k)$  by induction on  $k \geq 0$ .

Outline of the proof of  $P(k) \wedge Q(k)$ .

We first prove  $P(k)$ . Basis:  $k = 0$ . The proof is obvious.

Induction step: Let  $\gamma : M_0 \leftrightarrow^* M_1 \leftrightarrow^* M_2 \cdots \leftrightarrow^* M_n$  where  $H(\gamma) = k$ ,  $M_0 = \sigma(\beta)$ ,  $M_1 = \sigma(\alpha)$  and  $M_n = M$ . Let  $\bar{\gamma} : M_1 \leftrightarrow^* M_2 \cdots \leftrightarrow^* M_n$ . We prove  $P(k)$  by induction on the following  $\text{weight}(\gamma)$ .

$$\text{weight}(\gamma) = \bigsqcup_{\gamma_i \in \Gamma} K(\text{net}(\gamma_i^R))$$

where  $\Gamma = \{\gamma_i \mid \gamma_i = \bar{\gamma}/u_i \text{ for some } u_i \in MR(\bar{\gamma}) \cap \bar{O}(\alpha)\}$ .

Here,  $\gamma_i^R$  is the reverse sequence of  $\gamma_i$ .

Note that if  $\Gamma = \phi$ , then  $\text{weight}(\gamma) = \phi$ .

1. Basis: the case of  $\text{weight}(\gamma) = \phi$ , i.e., all the reductions of  $\gamma$  occur in the variable parts of  $\sigma(\alpha)$ .

We can prove  $P(k)$  by using the induction hypothesis  $Q(k-1)$  and the strongly depth-preserving property.

2. Induction step: the case of  $\text{weight}(\gamma) \gg \phi$  i.e., some reduction occurs in the non variable part.

By the definition of  $\gamma_1^R$ , then there exists an  $\varepsilon$ -reduction.

Let  $\delta = \text{net}(\gamma_1^R) : (L_0 \leftrightarrow^* L_1 \cdots \leftrightarrow^* L_m)$  where  $m \leq n$ ,  $L_0 = M_n/u_1$ ,  $L_m = M_1/u_1$ .

There are two cases depending on whether there exists

$$\xi : L_i (= \sigma'(\beta')) \xleftarrow{\varepsilon} L_{i+1} (= \sigma'(\alpha')) \leftrightarrow^* L_j (= \sigma''(\alpha')) \xrightarrow{\varepsilon} L_{j+1} (= \sigma''(\beta'))$$

for some  $i, j$  ( $1 \leq i < j < m$ ), where  $L_{i+1} \leftrightarrow^* L_j$  is  $\varepsilon$ -invariant.

- (a) The case in which  $\delta$  includes such  $\xi$ .

By  $S(|\xi|_p)$ , there exists  $\xi' : L_i \leftrightarrow^* L_{j+1}$  satisfying the conditions (i), (ii), (iii).

Let  $\delta' = \delta[\xi'/\xi]$  and  $\gamma' = \gamma[\gamma'_1/\gamma_1]$  where  $\text{net}(\gamma_1^R) = \delta'$  and  $\text{net}(\gamma_1^R) = \delta$ .

By  $\text{weight}(\gamma) \gg \text{weight}(\gamma')$ , the induction hypothesis for  $\gamma'$  ensures that  $P(k)$  holds for  $\gamma$ .

- (b) The case in which  $\delta$  does not include such  $\xi$ .

In this case,  $\delta$  includes  $\varepsilon$ -reductions, but the direction of the  $\varepsilon$ -reductions is left-to-right by the non-E-overlapping property.

Using a finite number of the induction hypothesis  $P(k')$ ,  $k' < k$ , we can prove that there exists  $\eta : L_0 \leftrightarrow^* N \leftrightarrow^* L_i$  for some term  $N$  and  $i$  ( $0 < i \leq m$ ) such that  $H(\eta) \leq H(\delta)$ ,  $L_0 \xrightarrow{*} N$  and either  $i = m$  and  $\eta' : N \leftrightarrow^* L_i$  is  $\varepsilon$ -invariant or  $H(\eta') < H(\delta_i)$  holds where  $\eta' : N \leftrightarrow^* L_i$  and  $\delta_i : L_0 \leftrightarrow^* L_1 \cdots \leftrightarrow^* L_i$ .

Let  $\bar{\delta} = \delta[\eta'/\delta_i]$ . Then,  $\bar{\delta}$  is  $\varepsilon$ -invariant or  $K(\delta) \gg K(\bar{\delta})$  holds. Let  $\gamma' = \gamma[\gamma'_1/\gamma_1]$  where  $\bar{\delta} = \text{net}(\gamma_1'^R)$  and  $\delta = \text{net}(\gamma_1^R)$ . Then,  $\text{weight}(\gamma) \gg \text{weight}(\gamma')$  holds, so that the induction hypothesis  $P(k)$  for  $\gamma'$  ensures that  $P(k)$  holds for  $\gamma$ .

Next, we prove  $Q(k)$  by induction on  $(H(\gamma), W(\gamma), \varepsilon(\gamma))$ , where  $\varepsilon(\gamma)$  is the number of  $\varepsilon$ -reductions in  $\gamma$  and  $W(\gamma) = \{(h, \text{width}(\gamma, h)) \mid \text{width}(\gamma, h) \downarrow\}$ .

If  $H(\gamma) \leq k - 1$  or  $\gamma$  has no  $\varepsilon$ -reductions, then the proof can be reduced to that of  $Q(k - 1)$ . So, let  $H(\gamma) = k$  and  $\gamma$  has  $\varepsilon$ -reductions.

There are two cases depending on whether there exists a subsequence  $\gamma_1 : N_1 \xleftarrow{\varepsilon} N_2 \xleftrightarrow{*} N_3 \xrightarrow{\varepsilon} N_4$  of  $\gamma$  for some  $N_i, 1 \leq i \leq 4$ , where  $N_2 \xleftrightarrow{*} N_3$  is  $\varepsilon$ -invariant.

1. The case in which  $\gamma$  includes such  $\gamma_1$ .

In this case, we apply  $S(|\gamma_1|_p)$  or  $S'(|\gamma_1|_p)$  and obtain  $\delta_1 : N_1 \xleftrightarrow{*} N_4$  satisfying the conditions (i), (ii) and (iii).

Let  $\gamma' = \gamma[\delta_1/\gamma_1]$ . Then, either  $W(\gamma) \gg W(\gamma')$  or  $W(\gamma) = W(\gamma')$  and  $\delta_1$  is  $\varepsilon$ -invariant. In either case, the induction hypothesis for  $\gamma'$  ensures that  $Q(k)$  holds for  $\gamma$ .

2. The case in which  $\gamma$  does not include such  $\gamma_1$ .

We can prove this case by using  $P(k)$  and  $Q(k - 1)$ . But, the details are omitted.

Since  $Q(k), k > 0$ , ensures that TRS  $R$  is CR, we have the following our main theorem.

### Main Theorem

A TRS  $R$  is CR if  $R$  is non-E-overlapping and strongly depth-preserving.

Matsuura et al.[6] showed that if a TRS  $R$  is non- $\omega$ -overlapping and depth-preserving, then  $R$  is non-E-overlapping, so that we have the following corollary.

### Corollary

A TRS  $R$  is CR if  $R$  is non- $\omega$ -overlapping and strongly depth-preserving.

### Note

Whether  $R$  is non- $\omega$ -overlapping or not can be checked efficiently.

## 4 Concluding Remarks

In this paper, we have shown that there exists a non-E-overlapping and depth-preserving TRS which is not CR, but all the non-E-overlapping and strongly depth-preserving TRS's satisfy the CR property.

Finally, we make a comment on the strongly depth-preserving property. This property is defined by the depth-preserving property and the condition that for each rule  $\alpha \rightarrow \beta$  and for any  $x \in V(\alpha)$ , all the depths of the  $x$  occurrences in  $\alpha$  are the same. By replacing the restriction on  $\alpha$  by that on  $\beta$ , we can define an analogous property. That is, this new property is defined by the depth-preserving property and the condition that for each rule  $\alpha \rightarrow \beta$  and for any  $x \in V(\beta)$ , all the depths of the  $x$  occurrences in  $\beta$  are the same. However, this new property and non-E-overlapping do not necessarily ensure CR. For example, TRS  $R_6 = \{f(g(x), x) \rightarrow a, c \rightarrow h(c, g(c)), h(x, g(x)) \rightarrow f(g(x), h(x, g(c)))\}$  is non-E-overlapping and satisfies this new condition, but  $R_6$  is not CR.

It will be a next step following the work of this paper to study the CR property of E-overlapping and strongly depth-preserving TRS, that is, to find restriction conditions that E-critical pairs must satisfy for ensuring the CR property of strongly depth-preserving TRS's.

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